



**Express Mail No. EV 399508219 US**  
**PATENT**

**Practitioner's Docket No. 3906.004 (formerly 1576.100)**  
**Customer No.: 24040**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: T. HIDAKA et al. )  
S.N.: 10/089,131 ) Examiner: S. KUMAR  
Filed: March 25, 2002 ) Art Unit: 1621  
Confirmation No: 2506 )  
For: **PHENOL COMPOUNDS AND RECORDING MATERIALS USING THE SAME**

**Mail Stop: Amendment (fee)**  
**Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria VA 22313-1450**

**RESPONSE AFTER NON-FINAL OFFICE ACTION TRANSMITTAL**

1. Transmitted herewith is an amendment after non-final rejection (37 C.F.R. 1.111) for this application.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

*(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)*

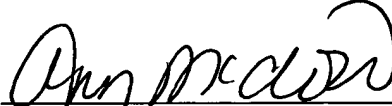
I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

\_\_\_\_\_ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington D.C. 20231  
37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10\*  
\_\_\_\_\_ with sufficient postage as first class mail. XXX as "Express Mail Post Office to Addressee"  
Express Mail Label No.: **EV 399508219 US**

**TRANSMISSION**

\_\_\_\_\_ facsimile transmitted to the Patent and Trademark Office, \_\_\_\_\_

  
Signature

Date: October 6, 2004

Ann McCloy, Legal Assistant

*(type or print name of person certifying)*

*\* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

## STATUS

2. Applicant is a large entity.

## EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col.1)		(Col. 2)	(Col. 3)	OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Addit Fee
Total	8	Minus	20	= 0	18.00	\$0.00
Indep.	8	Minus	6	= 2	86.00	\$176.00
First Presentation of Multiple Dependent Claim					290.00	\$0.00
					Total	\$176.00
					Addit. Fee	-0-

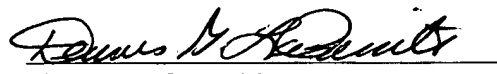
## FEE DEFICIENCY

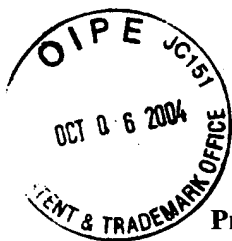
5. Please deduct the \$176.00 and any additional fees or credits to PTO Account No. 13-1992.

A duplicate of this form is enclosed.

Date: 10/6/04

Reg. No.: 40693  
Tel. No.: 727.538.3800  
Customer No.: 24040

  
Signature of Practitioner  
Dennis G. LaPointe  
Mason Law, P.A.  
17757 U.S. Hwy 19 N.  
Suite 500  
Clearwater, FL 33764



**Express Mail No. EV 399508219 US**  
**PATENT**

**Practitioner's Docket No. 3906.004 (formerly 1576.100)**  
**Customer No.: 24040**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: T. HIDAKA et al. )  
S.N.: 10/089,131 ) Examiner: S. KUMAR  
Filed: March 25, 2002 ) Art Unit: 1621  
Confirmation No: 2506 )

**For: PHENOL COMPOUNDS AND RECORDING MATERIALS USING THE SAME**

**Mail Stop: Amendment (fee)**  
**Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria VA 22313-1450**

**EV399508219US**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number : **EV 399508219 US**  
Date of Deposit: October 6, 2004

I hereby state that the following *attached paper or fee*

- Response after Non-Final Office Action Transmittal (in duplicate)
- Response to Non-Final Office Action
- Declaration Under 37 CFR § 1.132
- Express Mail Certificate
- Post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Ann McClow, Legal Assistant

**Signature of person mailing paper or fee**

10167104

1621/4  
Jhu

ATTORNEY DOCKET NO.: 3906.004 (formerly 1576.100)

CUSTOMER NO.: 24040

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: T. HIDAKA et al. )

S.N.: 10/089,131 )

Examiner: S. KUMAR )

Filed: March 25, 2002 )

Art Unit: 1621 )

Confirmation No: 2506 )

For: PHENOL COMPOUNDS AND RECORD- )  
ING MATERIALS USING THE SAME )

Certificate of Express Mail Under 37 C.F.R. 1.10

"Express Mail" mailing label number: EV 399508219 US

Date of Deposit: 10/6/04

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Ann McClow, Legal Assistant

**RESPONSE AFTER NON-FINAL OFFICE ACTION**

M/S: Box Amendment (FEE)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the (**NON-FINAL** Examiner's Action mailed July 7, 2004 (Paper No./Mail Date 20040705), having a shortened statutory period for response set to expire October 7, 2004, the above-identified patent application is amended as follows:

10/08/2004 ZJU HAR1 00000027 131992 10089131

01 FC:1201 176.00 DA